

## AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Mehmet Inan, et al.

Docket No.

15948Z

Application No.

10/743,163

Filing Date

December 22, 2003

Examiner

Jennifer Ann Dunston

Customer No.

23389

Group Art Unit

1636

Confirmation No.

7785

Invention: ALCOHOL OXIDASE 1 REGULATORY NUCLEOTIDE SEQUENCES FOR HETEROLOGOUS GENE EXPRESSION IN YEAST

## COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

☒ Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

## CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	142 -	136 =	6	x \$25.00	\$150.00
INDEP. CLAIMS	9 -	8 =	1	x \$100.00	\$100.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$250.00

☐ No additional fee is required for amendment.☒ Please charge Deposit Account No. 19-3886/RCT in the amount of \$250.00☐ A check in the amount of to cover the filing fee is enclosed.☒ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 19-3886/RCT☒ Any additional filing fees required under 37 C.F.R. 1.16.☒ Any patent application processing fees under 37 CFR 1.17.☐ Payment by credit card. Form PTO-2038 is attached.**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Dated: September 21, 2006

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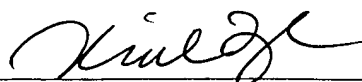
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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on September 21, 2006.

(Date)



Signature of Person Mailing Correspondence

Xiaochun Zhu

Typed or Printed Name of Person Mailing Correspondence

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Mehmet Inan, et al.

**Examiner:** Jennifer Ann Dunston

**Serial No.:** 10/743,163

**Art Unit:** 1636

**Filed:** December 22, 2003

**Docket:** 15948Z

**For:** ALCOHOL OXIDASE 1 REGULATORY  
NUCLEOTIDE SEQUENCES FOR  
HETEROLOGOUS GENE EXPRESSION IN YEAST

**Dated:** September 21, 2006

**Confirmation No.:** 7785

Commissioner for Patents  
Alexandria, VA 22313-1450

**RESPONSE UNDER 37 C.F.R. §§ 1.111 AND 1.143**  
**AND SECOND PRELIMINARY AMENDMENT**

Sir:

In response to the Office Action dated August 22, 2006, setting forth a requirement for restriction and in accordance with the provisions of 37 C.F.R. §§ 1.111 and 1.143, Applicants provisionally elect, with traverse, Group I, claims 1 and 7-20 (as they read on SEQ ID NO: 16), drawn to an isolated polynucleotide comprising a regulatory region containing a nucleotide sequence of SEQ ID NO: 16 or variant thereof. Applicants further respectfully submit the following second Preliminary Amendment for entry in the present application.

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**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)**

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Dated: September 21, 2006

  
Xiaochun Zhu